



MAURITAS

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Competence of MAURITAS Personnel and Certification Body Assessors and Technical Experts for ISMS

Mauritius Accreditation Service

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Foreword

The MAURITIUS ACCREDITATION SERVICE (MAURITAS) is a governmental body established in 1998 to provide a national, unified service for the accreditation of Conformity Assessment Bodies (CABs) such as calibration/testing laboratories, certification bodies and inspection bodies Organizations that comply with the MAURITAS requirements are granted accreditation by MAURITAS.

About MAURITAS publications

MAURITAS publications are categorized as follows:

- R series Publications containing general policy and requirements related to MAURITAS accreditation.
- G series Publications providing guidance on MAURITAS requirements.
- A series Publications related to assessment procedures.
- P series MAURITAS quality system procedures
- F series MAURITAS Forms
- Directories Classified listing of accredited organizations.

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Competence of MAURITAS Personnel and Certification Body Assessors and Technical Experts for ISMS

1. Purpose

1.1 This document ensures the consistent and harmonized application of ISO/IEC 17011 for defining and determining the competence of MAURITAS personnel and Assessors and Technical Experts involved in the accreditation of Certification Bodies for Information Security Management System (ISMS). This document is to be used together with MAURITAS A2 and A3 procedure.

2 Scope and Responsibilities

2.1 It is the responsibility of MAURITAS to make use of this document when demonstrating the competence of MAURITAS personnel and Certification Body Assessors and Technical Experts for ISMS through interviews, personal interaction and/or during on site assessments.

3. References

The following documents contain provisions which, through reference in this text, constitute provisions of the MAURITAS accreditation system. For dated references, subsequent amendments to, or revisions of, any of these publications do not apply. For undated MAURITAS references, the latest edition of the document referred to applies. MAURITAS maintains a register, of the current valid MAURITAS accreditation documents.

3.1 MAURITAS A series documents

3.2 MAURITAS G series documents

3.3 ISO/IEC 17011 : Conformity assessment – General requirements for accreditation bodies accrediting conformity assessment bodies

3.4 ISO/IEC 27001 : Information security, cybersecurity and privacy protection - Information security management systems - Requirements

3.5 ISO/IEC 27006-1 : Information security, cybersecurity and privacy protection - Requirements for bodies providing audit and certification of information security management systems - Part 1: General

3.6 ILAC/IAF JWG A-Series FAQ1

3.7 IAF MD 13 : Knowledge requirements for Accreditation Body personnel for Information Security Management Systems (ISO/IEC 27001)

4 Definitions

4.1 Assessor

An assessor is a person assigned by MAURITAS to perform, alone or as part of an Assessment Team, an assessment of a CAB.

4.2 Technical Expert

A Technical Expert is a person assigned by MAURITAS, working under the responsibility of an Assessor, who provides specific knowledge or expertise with respect to the scope of accreditation to be assessed and does not assess independently.

5 Determination of the competence of Certification Body Assessors and Technical Experts

5.1 MAURITAS shall have ISMS Assessors or other personnel (e.g. Technical Experts) with the necessary competence to perform the assessment of Certification Bodies implementing ISMS certification scheme in accordance with the Generic Assessment Competencies related to:

- Accreditation
- Planning and Scheduling
- Document Review
- On-Site Assessment
- Reporting Activities

5.2 MAURITAS shall qualify ISMS Technical Experts based on qualifications, work experience and demonstrated competence as per MAURITAS A2, MAURITAS A3 in order to carry out assessment of Certification Bodies and its personnel performing ISMS audits.

The minimum qualification shall be a Degree in the field of Information and Communication Technology or Information Security or any relevant IT discipline. The work experience shall be the technical experience and knowledge in the IT related field.

5.3 In addition to the requirement of MAURITAS A2, a competent Technical Expert shall be qualified as Assessor by MAURITAS after completing a relevant assessor training course in ISMS.

Candidates completing the assessor training course shall be expected to:

- perform or coordinate assessments;
- interpret the accreditation standard;
- have a knowledge of the administrative procedures and main policies of MAURITAS.

5.4 Where an assessment is carried out by an Assessment Team, the level of competence required shall be held within the team as a whole and not by each individual member of the team. MAURITAS shall monitor the performance of the Assessor during his/her first assessment and shall register the Assessor. MAURITAS shall maintain records for selecting, training and monitoring of Assessors and Technical Experts competencies in the individual Assessor/Technical Expert file.

5.5 In addition to the general competency required for each accreditation function as defined in ISO/IEC 17011, MAURITAS shall also specify the areas of knowledge for specific functions or activities pertaining to the accreditation of Certification Bodies providing auditing and certification of ISMS.

The table below specifies the area of knowledge that MAURITAS shall define for specific accreditation activities in the accreditation of a Certification Body providing ISMS auditing and certification, in accordance with IAF MD13.

An Assessor involved in ISMS assessment shall have a level of the knowledge as described in A1 to A5 of the table below. The knowledge in A6 and A7 can be held within the team as a whole. This is denoted by “X” in the table below.

Required Knowledge of MAURITAS Personnel involved in the accreditation of ISMS Certification Bodies

Accreditation Functions Subject	Document Review	Office Assessment	Witness Assessment	Reviewing Assessment Reports and Making Accreditation Decisions	Management of Accreditation Schemes
A1. ISMS related terminology and principles including ISO/IEC 27000	X	X	X	X	X
A2. Audit techniques included in ISO/IEC 27007 and ISO/IEC TS 27008		X	X		
A3. ISO/IEC 17021-1 and ISO/IEC 27006	X	X	X	X	X
A4. ISO/IEC 27001	X	X	X	X	X
A5. General legal and regulatory requirements related to ISMSs	X	X	X	X	
A6. Generic ISMS related technology including - information security technologies and practices - information and communication technology - risk assessment and risk management, such as ISO/IEC 27005	X	X	X	X	
A7. CAB’s client process and operation associated with ISMS			X		

5.6 The Certification Body's client processes and operations associated with ISMS cover one or more of the following:

- typical business activities related to the technical area;
- information and communication technology specific to the technical area;
- information security technologies and practices specific to the technical area, especially identification of information security related threats and vulnerabilities and related mitigations and controls; and
- related legal requirements.

5.7 The legal requirements that would be covered during the witness audit are those regulations which the ISMS certified organisations would be expected to comply with either for the information security field or country within which they operate.

5.8 MAURITAS shall establish the team competence for the ISMS assessment with the approval of the Director. Records will be kept in the resource review form, **F 1.09**.

6 Related Forms

Appendix A: Amendment Table

SN	Section	Amendment
1.		